

HICKMAN PALERMO TRUONG & BECKER LLP
2055 Gateway Place, Suite 550
San Jose, CA 95110-1089
(408) 414-1080
Facsimile (408) 414-1076

RECEIVED
CENTRAL FAX CENTER

OCT 20 2005

FACSIMILE

FROM:

Attorney: John D. Henkhaus Direct Phone: 408-414-1080 x203
Attorney's E-Mail: jhenkhaus@hptb-law.com Sender's Fax: San Jose, CA (408) 414-1076
Secretary: Darci Sakamoto Direct Phone: 408-414-1080x211
Client/Matter/Tkpr: 50269-0520 Date: 10/20/05 Time Sent: _____
Number of pages including this page: 9

TO:

Name	Company	Facsimile No.	Contact No.
Benjamin R. Bruckart	USPTO	571/273-8300	571/272-3982

MESSAGE: Application No. - 10/017,997
Filing Date - October 30, 2001
First Named Inventor - Joseph J. Bai
Attorney Docket No. - 50269-0520
Examiner - Bruckart, B.
Art Unit - 2155

IDS's in the above-referenced case were submitted on
July 16, 2002 and May 4, 2005.

Please initial enclosed Form PTO-1449s and return to us via
facsimile.

(Also enclosed is the IDS's with certificate of mailing)

The information contained in this facsimile message is legally privileged and confidential information intended only for the use of the individual or entity named above. If the reader of this message is not the intended recipient, you are hereby notified that any dissemination, distribution, or copy of this facsimile is strictly prohibited. If you have received this facsimile in error, please notify us immediately by telephone and return the original message to us at the above address via the United States Postal Service. Thank you.

Attorney Docket No. 50269-0520

RECEIVED
CENTRAL FAX CENTER

OCT 20 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Group Art Unit No.: 2152

Joseph J. Bai, et al.

Examiner: NYA

Serial No.: 10/017,997

Filed on: October 30, 2001

For: COOPERATIVE MANAGEMENT OF
DISTRIBUTED NETWORK CACHESCommissioner for Patents
Washington, D.C. 20231INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form. It is respectfully requested that the cited documents be considered and that the enclosed Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

 X 37 C.F.R. §1.97(b).

 37 C.F.R. §1.97(c). If so, then this Information Disclosure Statement includes one of the following:

 A statement pursuant to 37 C.F.R. §1.97(e)

 1.97(e)(1) The undersigned hereby states that each item of information contained in this information disclosure statement was first cited in communication from a foreign patent office in a counterpart

P-00060-PAT-N

1

Attorney Docket No. 50269-0520

foreign application not more than three months prior to the filing of this information disclosure statement.

_____ 1.97(e)(2) The undersigned hereby states that no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of this information disclosure statement.

_____ A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).

_____ 37 C.F.R. § 1.97(d). If so, then this Information Disclosure Statement includes the following:

_____ A statement pursuant to 37 C.F.R. § 1.97(e)

_____ 1.97(e)(1) The undersigned hereby states that each item of information contained in this information disclosure statement was first cited in communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement; OR

_____ 1.97(e)(2) The undersigned hereby states that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of this information disclosure statement.

AND

_____ A check for \$180.00 for the fee under 37 C.F.R. § 1.17(i) for submission of the Information Disclosure Statement.

_____ 37 C.F.R. § 1.97(i). Wherein applicants are submitting references before the grant of a patent to be placed in the file but not considered by the Patent office.

- (1) Accordingly, copies of the references as listed on the attached Form PTO 1449 are submitted herewith for placement in the file. No certification or fees are deemed necessary.

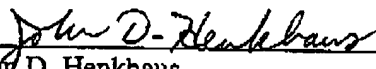
Attorney Docket No. 50269-0520

Throughout the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to deposit account 50-1302. A duplicate of this sheet is enclosed.

Respectfully submitted,

HICKMAN PALERMO TRUONG & BECKER LLP

Dated: July 16, 2002

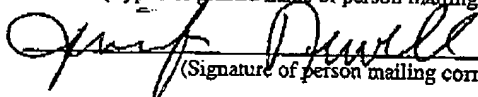

John D. Henkhaus
Reg. No. 42,656

1600 Willow Street
San Jose, California 95125-5106
Telephone: (408) 414-1080
Facsimile: (408) 414-1076

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Commissioner for Patents, Washington, D. C. 20231 on July 16, 2002
(Date of Deposit)

Jennifer Newell

(Typed or printed name of person mailing correspondence)


(Signature of person mailing correspondence)

SHEET 1 OF 1

INFORMATION DISCLOSURE CITATION IN AN APPLICATION

(PTO-1449)

ATTY. DOCKET NO.
50269-0520

SERIAL NO.
10/017,997

APPLICANT
Joseph J. Bai, et al.

FILING DATE
October 30, 2001

GROUP
2152

U.S. PATENT DOCUMENTS

EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	5,611,049	3/11/97	Pitts	395	200.09	6/3/92
	6,085,234	7/4/00	Pitts et al.	709	217	7/23/98

FOREIGN PATENT DOCUMENTS

EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						Yes	No

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

Attorney Docket No. 50269-0520

RECEIVED
CENTRAL FAX CENTER

OCT 20 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Joseph J. Bai, et al.)	Confirmation No.: 7177
)	
Serial No.: 10/017,997)	Examiner: Bruckart,
)	Benjamin R.
)	
Filed on: October 30, 2001)	Group Art Unit No.: 2155

For: COOPERATIVE MANAGEMENT OF DISTRIBUTED NETWORK CACHES

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form, if needed. It is respectfully requested that the cited documents be considered and that the enclosed Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

- _____ 37 C.F.R. §1.97(b).
- X 37 C.F.R. §1.97(c). If so, then this Information Disclosure Statement includes one of the following:
- X A statement pursuant to 37 C.F.R. §1.97(e)
- X 1.97(e)(1) The undersigned hereby states that each item of information contained in this information disclosure statement was first

Attorney Docket No. 50269-0520

cited in communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.

____ 1.97(e)(2) The undersigned hereby states that no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this information disclosure statement.

____ A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).

____ 37 C.F.R. §1.97(d). If so, then this Information Disclosure Statement includes the following:

____ A statement pursuant to 37 C.F.R. §1.97(e)

____ 1.97(e)(1) The undersigned hereby states that each item of information contained in this information disclosure statement was first cited in communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement; OR

____ 1.97(e)(2) The undersigned hereby states that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this information disclosure statement.

AND

____ A check for \$180.00 for the fee under 37 C.F.R. §1.17(i) for submission of the Information Disclosure Statement.

____ 37 C.F.R. §1.97(i). Wherein applicants are submitting references before the grant of a patent to be placed in the file but not considered by the Patent office.

- (1) Accordingly, copies of the references as listed on the attached Form PTO 1449 are submitted herewith for placement in the file. No certification or fees are deemed necessary.

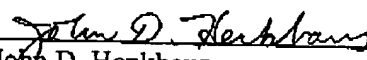
Attorney Docket No. 50269-0520

Throughout the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to deposit account 50-1302.

Respectfully submitted,

HICKMAN PALERMO TRUONG & BECKER LLP

Dated: May 3, 2005


John D. Henkhaus
Reg. No. 42,656

2055 Gateway Place, Suite 550
San Jose, California 95110-1089
Telephone: (408) 414-1080
Facsimile: (408) 414-1076

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on
May 4, 2005
(Date of Deposit)

Maria Eivers

(Typed or printed name of person mailing correspondence)



(Signature of person mailing correspondence)

INFORMATION DISCLOSURE CITATION IN AN APPLICATION (PTO-1449)				ATTY. DOCKET NO. 50269-0520		SERIAL NO. 10/017,997	
				APPLICANT: Joseph J. Bai et al.			
				FILING DATE: October 30, 2001		GROUP: 7177	
U.S. PATENT DOCUMENTS							
Exam. Initial*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		Number	Kind Code ² (If known)				
		6,014,698		Griffiths	01-11-2000		

FOREIGN PATENT DOCUMENTS								
Exam. Initial*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (If known)				

OTHER ART - NO PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation ²
		Canadian Intellectual Property Office, Office Action, Dated March 8, 2005 for Application 2,424,330, request to amend the application, 2 pgs.	
		Current Claims, Canadian Patent Application 2,424,330, Aggregated Management of Distributed Network Caches, pp. 1-12	

Examiner Signature		Date Considered	
-----------------------	--	-----------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.